



SECTOR  
1614  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Dr. Gerardo Castillo et al.

Examiner:

Serial No.: 09/079,829

Group Art Unit:

1614

Filing Date: 05/15/1998

Attorney Docket No.:

PROTEO.P07

Title of Invention: Composition and Methods for Treating Alzheimer's Disease and Other Amyloidoses

Seattle, Washington 98101

July 24, 1998

TO THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Attn: APPLICATION PROCESSING DIVISION

TRANSMITTAL

Further to our 05/15/1998 application transmittal, Applicant herewith submits the following items to complete the filing requirements (copy of form PTO-1533 attached as required):

1. Combined Declaration and Power of Attorney for each inventor;
2. Verified Statement Claiming Small Entity Status for each inventor;
3. Filing fee (\$395 small entity) with extra claims fee (\$253 for twenty-three (23) additional dependent claims) and surcharge for late filing (\$65 small entity) in the form of check no.110142 for \$713.

Having complied with all of the filing requirement of 37 CFR 1.53, Applicant respectfully requests that this application be placed upon the files for examination.

Respectfully submitted,

Tel. No. (206) 343-7074

PATRICK MICHAEL DWYER

Reg. No. 32,411

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.

July 24, 1998

Patrick Dwyer

1-8PCRT.INS



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/079,829 05/15/98 CASTILLLO

PROTEO P07

0252/0603

PATRICK M DUYER  
1919 ONE UNION SQUARE  
600 UNIVERSITY STREET  
SEATTLE WA 98101-1129

NOT ASSIGNED

1614

DATE MAILED:

06/03/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 1426.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ 506.00 for 23 dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box-Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

07/31/1998 WILLIAM 00000032 03079829

01 FC:201  
02 FC:203  
03 FC:205

395.00  
253.00  
15.00